# WYOMING PARI-MUTUEL COMMISSION LICENSEE INFORMATION GUIDE

### **POLICIES AND PROCEDURES**



Wyoming Pari-Mutuel Commission Energy 11 Building 951 Werner Court, Suite 335 Casper, WY 82601

Phone: (307) 265-4015 Fax: (307) 265-4279 Website: http://parimutuel.state.wy.us

# **Wyoming Pari-Mutuel Commission**



### **GENERAL INFORMATION**

The primary goals of the Pari-Mutuel Commission are the protection of the wagering public and the health, safety and welfare of the participants in the events, both human and equine. The Commission strives to achieve this goal through an ongoing effort to improve the quality and integrity of Wyoming pari-mutuel events. This includes investigation of applicants for permits to conduct pari-mutuel events as well as applicants for license to participate in these events.

#### Introduction

The purpose of this manual is to help you, the Wyoming Pari-Mutuel Commission's (WPMC) licensee find important information about racing in Wyoming. We are providing this manual to outline your responsibilities and to give you the tools needed in order to comply with all the WPMC Rules, Policies and Procedures.

While this is not a complete set of rules, this manual highlights some of the most frequently asked questions and key issues that you might encounter in Wyoming. For a complete copy of the Wyoming Pari-Mutuel Commission Rules of Racing and Pari-Mutuel Events please visit the website at <a href="http://parimutuel.state.wy.us/Rules.asp">http://parimutuel.state.wy.us/Rules.asp</a>.

The Wyoming Pari-Mutuel Commission is committed to improving safety in horse racing and preventing injuries to people and horses. As a licensee it is your job to participate in the ongoing job of maintaining the integrity and fun in racing horses.

#### **WPMC Staff Information**

Staff	Wyoming Pari-Mutuel Commissioners
-------	-----------------------------------

Charles Moore, Executive Director District 1 Danny Glick

Simulcast Steward District 2 Robert "Bob" Atherton

charles.moore@wyo.gov District 3 Jacqueline "Jackie" Crow

**District 4 Patti Bergstrom** 

Brande Koltiska District 5 Bill Dobbs

License Administrator District 6 Joe Tully

brande.koltiska@wyo.gov District 7 Mantha Phillips

### LICENSING IN

#### Introduction

The Wyoming Pari-Mutuel Commission (WPMC) licensing division is available to help you with your licensing needs.

The Wyoming Pari-Mutuel Commission license office located in Casper, Wyoming is open Monday through Friday from 8:00 a.m. until 5:00 p.m. During live racing meets a license office will be open at the specified racetrack.

Licensing applications are available online at the Wyoming Pari-Mutuel Commission website: <a href="http://parimutuel.state.wy.us">http://parimutuel.state.wy.us</a>

All applicants will be asked to provide at least one piece of photographic identification. If you are not a United States citizen you will be asked to provide proof of your resident status. For certain license types you might be asked to provide proof of prior licensing from another jurisdiction before you will be issued the same license in Wyoming. Photographs are required for all applicants applying in person. You will also be required to give a new photograph when renewing or updating your personal record at our office.

#### Who Must Be Licensed

Any person who is engaged in or employed by those engaged in a pari-mutuel event racing or operating a race meeting and those who operate concessions under authority from any Permittee must be licensed by the Commission.

Every licensed person participating in a permitted event including all owners and trainers and their stable employees, are subject to the Laws of Wyoming and the Rules promulgated by the commission immediately upon acceptance and occupancy of stabling accommodations from or approved by a Permittee or upon making entry to run or participate on Permittee's grounds. Owners, trainers and stable employees shall abide by the Laws and Rules and accept the decisions of the Stewards/Judges on any and all questions, subject to their right of appeal to the Commission.

All racing licenses are obtained from the licensing department at the WPMC office. For complete details on licensing please see our WPMC Rules of Racing and Pari-Mutuel Events, Chapter 7, Licensing/Licensees.

#### **Conditions For License**

No licensee may enter a restricted area without displaying a current license issued by the WPMC. Each prepared photo bearing license must be worn when the licensee is acting in his official capacity on the grounds.

#### **License Approvals**

First Time Trainers and Assistant Trainers are required to pass a trainers test given by the Board of Stewards prior to the licensure.

Only will a Trainer and an Assistant Trainer receive a license if they are qualified in the opinion of the Stewards by reason of experience, background and knowledge of racing.

Trainers and Assistant trainers may be required to pass a written and practical test given by the stewards.

Apprentice (first-time) jockeys need prior approval of the Stewards, Starter and Outrider before they will be issued an Apprentice Jockey license.

#### **Licensing Costs**

Individual applicants will have the option of a one or three year license. The license fee for a one (1) year license shall be the annual fee for each category in which the person is licensed, the fee for a three (3) year license shall be three (3) times the annual fee for each category in which the person is licensed. Association and stable licenses shall expire on December 31 of each year issued.

The fee for a duplicate license shall be ten (\$10) dollars. Acceptable methods of payment are cash, check or money order. No debit or credit cards can be accepted.

#### A fifty five (\$55) dollar license fee:

Authorized Agent

Exerciser

Horsemen's Bookkeeper

Jockey

Jockey Agent Jockey Apprentice Mutuel Official

Outrider Owner

Owner/Trainer

Partnership (each partner)

Permittee Official

Plater

Pony Rider

Roper

Stable Name

Trainer (& Asst. Trainer)

Veterinarian

Veterinarian Assistant

Vendor

#### A thirty five (\$35) dollar license fee:

Assistant Starter
Concession Employee
Gateman
Groom

Jockey Runner

Mutuel Employee Permittee Employee

Security Valet

Vendor Employee

### RACING IN

### Introduction

The Wyoming Pari-Mutuel Commission stewards have years of experience in the racing industry. They serve to help all licensees with racing rules and to regulate racing through hearings and rulings. No person shall be considered for approval by the Commission as an official unless such person is a professional racing official with a reputation of good character and ability or has indicated a desire to become a professional racing official and is in possession of sufficient experience and/or has a special aptitude for such a position.

#### **Definitions**

The rules of racing as defined by the Wyoming Pari-Mutuel Commission have definitions that may be of use to the licensee. For a full description please see the WPMC Rules of Racing and Pari-Mutuel Events, Chapter 1, <u>Definitions</u>.

### **Prohibit Conduct & Hearing Procedures**

Chapter III, Appeals, Protests & Hearing Rules describes prohibited practices, suspensions, hearing appeals/stays and license reinstatement. For further information please

#### **Trainers Duties**

A complete description of trainer's duties can be found in the rules in the WPMC Rules of Racing and Pari-Mutuel Events, Licensing/Licensees, Section 10. <u>Trainers / Assistant</u>. These are a few highlights that are important to your success as a trainer in Wyoming.

A trainer shall be the absolute insurer of and responsible for the condition of the horses entered in a race or permitted event, regardless of the acts of third parties. Should the chemical or other analysis of blood or urine sample, or other tests, prove positive, showing a presence of any narcotic stimulant, depressant, foreign substance or drug of any kind or description, the trainer of the horse may, in the discretion of the Commission, have and receive any or all of the following penalties: be fined, suspended, his license revoked or ruled off, and in addition the owner of the horse and any other person or persons shown to have had the care or attendance of the horse, may in the discretion of the Commission, have any or all of the following penalties inflicted: be fined, suspended, his license revoked, or ruled off.

A trainer shall attend his horse in the paddock and shall be present to supervise his saddling unless he has obtained permission of the Stewards to send another licensed trainer as a substitute.

#### **Entries**

Entries and declarations shall be made in writing and signed by the owner of the horse, or his authorized agent or some person deputized by him, and each Permittee shall provide blank forms on which entries and declarations are to be made. For complete rules please reference the WPMC Rules of Racing and Pari-Mutuel Events, Chapter VIII, Conduct Of Racing, SECTION 3. Entries.

No horse will be allowed to enter or start unless: It has been registered with the Registry Office of the Jockey Club of New York, The American Quarter Horse Association or the official stud book and registry of any other breed which maintains a national stud book and registry and the certificate of registration is on file with the Racing Secretary.

A horse may be entered without being tattooed, but must be tattooed to be eligible to start.

No horse on the Schooling list, the Veterinarian's list or on the Stewards list shall be qualified to be entered or to start.

A maiden over five (5) years of age or any horse over twelve (12) years of age will not be allowed to start unless special permission is granted by the Commission.

#### **Medication and Entries**

No substance shall be administered to a horse entered to race within twenty-four hours prior to post time of the race in which the horse is entered except that furosemide ("Lasix") may be administered under the supervision of the Official Veterinarian as prescribed in these Rules. For full Medication Procedures please see the WPMC Rules of Racing and Pari-Mutuel Events, Chapter VIII, Conduct Of Races, SECTION 2. Medication Procedures for Horse Races.

To race on Furosemide (Lasix) you must declare at entry that the horse runs on Lasix, is a First Time Lasix user or First Time Off Lasix.

Phenylbutazone (Bute) is the only approved non-steroidal anti-inflammatory drug (NSAID) that may be present in a horse's body while it is participating in a race. In order to race on Bute you must declare at time of entry.

Each and every horse entered to race may be subjected to a veterinary examination for racing soundness and health on race day, not later than two hours prior to official post time for the first race.

#### Naming and Changing Jockeys

Jockeys shall be named not later than scratch time of the day of the race.

Any subsequent change of a jockey must be sanctioned by the Stewards and must be promptly and publicly posted and announced. Please see the WPMC Rules of Racing and Pari-Mutuel Events, Chapter VIII, Conduct Of Races, SECTION 9. Naming of Jockeys.

#### **Equipment and Equipment Changes**

Permission for any change of equipment from that which a horse carried in his last previous race, during the current meeting, must be obtained from the Stewards. All Equipment and changes must be declared at time of entry.

#### Colors

All racing colors carried in races shall be registered annually with the Commission. The annual fee is twenty (\$20) dollars. Registered colors may be worn in all races and must be declared at time of entry.

No person shall start a horse in racing colors other than those registered in his own or assumed name, except that an owner may start a horse in colors registered to his or her trainer providing any horse owned by the trainer starts in the trainer's colors.

For further information reference the WPMC Rules of Racing and Pari-Mutuel Events, Chapter VIII, Licensing/Licensees, Section 3, Colors.

### Race Day Equipment

Permission for any change of equipment from that which a horse carried in his last previous race, during the current meeting, must be obtained from the Stewards.

Permission to add blinkers to a horse's equipment or to discontinue the use of them must be approved by the Starter before being granted by the Stewards. Permission to use or discontinue bar plate shoes must be approved by the Stewards.

### **Proper Safety Equipment**

Approved safety vest and helmet must be worn when on horseback. The safety vest and helmets must be securely fastened. This means the vest must be zipped or secured and the chinstraps must be fastened.

### **Horses That Ship In**

Horses entered to race on furosemide (Lasix) must be on the grounds 4-hours prior to the post time of the race in which they are entered.

Each and every horse entered to race may be subjected to a veterinary examination for racing soundness and health on race day, not later than two hours prior to official post time for the first race.

### Claiming

Claiming rules are outlined in the WPMC Rules of Racing and Pari-Mutuel Events rules: Chapter VIII, Conduct Of Races, SECTION 22. <u>Claiming.</u> Here are a few important facts about claiming.

- In claiming races any horse is subject to claim for the entered price by any owner licensed by the Commission and in good standing who has at least one eligible horse registered with the Racing Secretary of a race meet in Wyoming or by any licensed owner with a valid Claim Certificate. Any other person who wishes to claim a horse must complete a Prospective Horse Owner's Application form and must be approved by the Stewards or the Commission.
- No one may claim more than one horse out of any one race.
- The fee for a claim certificate is twenty-five (\$25) dollars.
- Trainers cannot claim horses without an authorized agent license for the owner.

- All claims must be deposited in the claiming box at least ten minutes before the time fixed for the race to begin and not later.
- All claims must be in writing on forms and in envelopes approved by the Commission. Both forms and envelopes must be filled out completely and accurately, otherwise the claim will be void.
- Each person desiring to make a claim must first deposit with the Horsemen's Bookkeeper the
  whole amount of the claim in cash plus claim certificate fee, unless at the time of depositing
  the claim he shall have the amount of the claim to his credit and unencumbered with the
  Horsemen's Bookkeeper.
- Any horse claimed shall not be sold or transferred wholly or in part to anyone for thirty (30) days except in another claiming race.
- When a claim has been filed it is irrevocable and at the risk of the claimant.
- The Stewards shall be the sole judges of the quality of the horses in the stable of the claimant and may declare any claim void.

### **Wyoming Bred Horses**

A "Wyoming bred" horse is a horse foaled in the state of Wyoming, out of a mare that has been registered as an accredited Wyoming broodmare.

A Wyoming broodmare is a mare permanently domiciled in the state of Wyoming except for medical, racing, and breeding purposes and registered with the American Quarter Horse Association, the American Paint Horse Association, the Appaloosa Horse Congress, or the Jockey Club and accredited by the state breed organization recognized by the Commission.

Every Racetrack licensed by the Commission shall offer at least one Wyoming-bred quarter horse race and one Wyoming-bred thoroughbred race each day during its meeting. If sufficient competition cannot be had among this class of horses on any day, another race for said day may be substituted. A Wyoming-bred race is considered filled when at least six Wyoming breds are entered.

For complete rules regarding Wyoming Breds Program and awards please review the WPMC Rules of Racing and Pari-Mutuel Events Chapter VIII, Conduct Of Races, Section 6, Wyoming-Bred Horses.

#### **Penalties**

The Stewards have power to punish at their discretion any person subject to their control for violations of these Rules or regulations or for violation of the horse racing laws of Wyoming by suspension from acting or riding for up to thirty (30) calendar days or by fine not exceeding \$1,000 or both, and if they consider necessary any further punishment or additional fine, they shall so report to

the Commission. The complete description regarding Stewards and their role can be found in the WPMC Rules of Racing and Pari-Mutuel Events, Chapter 5, Officials, Section 16, <u>Stewards</u>.

For more information regarding disciplinary actions please review the WPMC Rules of Racing and Pari-Mutuel Events rules in Chapter III, Appeals, Protests and Hearing Rules.

### **VETERINARY PROGRAM**

### Introduction

This section is prepared to help trainers, assistant trainers and other licensees understand the requirements pertaining to equine inspection and testing and to comply with WPMC Rules of Racing and Pari-Mutuel Events. We strive to maintain the integrity of horse racing by providing assistance and guidance regarding the medication rules and veterinary policies.

### **Equine Veterinary Principles**

- Trainers are required to be familiar with the WPMC Rules of Racing and Pari-Mutuel Events,
   Chapter VIII, Conduct Of Races, SECTION 2. <u>Medication Procedures for Horse Races</u>.
- Horses must not compete under the influence of prohibited drugs or therapeutic medications.
- Race day test samples must not contain any drug or medication unless specially authorized or allowed by rule.
- No drug, medication, or chemical may be administered to a horse with 24 hours of the original post time, except furosemide ("Lasix") or Phenylbutazome ("Bute") per WPMC rules.
  - i) The administration of 250 milligrams of furosemide ("Lasix") shall be permitted four or more hours prior to post time for the prophylactic treatment of a known bleeder. Must be administered under the supervision of the Official Veterinarian as prescribed in the Rules.
  - ii) The test level of phenylbutazone ("Bute") and its metabolites under this rule shall not be in excess of five (5) micrograms per milliliter (MCG/ML) in the blood.

- WPMC licensed veterinarians must be in compliance with our rules and submit treatment reports to a commission veterinarian within 24 hours of any treatment.
- No person shall, while on the grounds where a horse is eligible for a pari-mutuel event, have
  in his possession any drugs; hypodermic syringes, needles or similar instruments which may
  be used for injection; or any electrical, mechanical, or other appliance or thing which might
  affect the speed or actions of horses.
- Horses that are trying to work off of a list (vet, bleeder, starter, paddock or steward) may be
  required to provide a urine &/or blood sample as a condition to be removed from the list. The
  sample may be tested and permitted medication levels must not exceed those allowed on race
  day. All other drugs that are forbidden in race day samples shall also be forbidden in the
  samples from horses that are trying to work off a list.
- Commission Veterinarians may perform Pre-Race Exams on Race day, not later than two hours prior to official post time for the first race.

### **Wyoming State Health Requirements**

The Wyoming Livestock Board requires horses traveling within Wyoming or entering Wyoming to have a current health certificate (issued within past 30 days) and current negative Coggins test (dated within 12 month prior). If horses are from a known quarantine area they would require an additional statement from their vet. All horses are required to have a brand inspection per Wyoming law, prior to leaving the County where the races occur. Official Brand Inspections from out of state will be honored but otherwise they would need to get a Wyoming inspection regardless of whether of not the horse is branded.

No horse will be allowed at a track and no horse shall be entered or permitted to start unless a current health certificate showing that the horse has been examined and found to be free from symptoms of any infectious disease is on file with the Racing Secretary.

The health certificate is valid when it is made by a licensed veterinarian in the state where the examination was made. It is current if it is dated not more than ten (10) days prior to the date the horse arrives at a Wyoming race track for the first time in a calendar year and any time he is shipped. A horse requires a new health certificate each time he is shipped. The certificate shall include the temperature of the horse at the time he is examined. The Permittee conducting the race meeting is responsible for compliance with this ruling.

#### Veterinarian's List

No horse on the schooling list, the Veterinarian's list or on the Stewards list shall be qualified to be entered or to start.

#### **Test Barn Procedures**

Horses that will be tested include:

- 1. The winner of every race, The owner, the trainer or other authorized representative must be present when a blood, urine or other specimen is taken from his horse.
- 2. All Claimed horses, a representative of both the former owner and the new owner shall accompany the horse to the test barn.
- 3. Any other horse the stewards choose to test.

No person is allowed into the test barn area without a current license and a specific purpose to be there.

Trainers should ensure that grooms are prepared to go to the test barn anytime a horse is raced. Grooms should come prepared by bringing their own halter and lead shank.

A testing horse's representative must watch their horse at all times.

The environment is which the testing of racehorses is performed affects the quality of our testing. Food, beverages and tobacco contain prohibitive substances that can contaminate a sample and produce a positive test. For that reason licensees are not permitted to bring food, beverages or tobacco into the test barn.

The finding of an unauthorized drug in a horse's race day test is a serious violation. Trainers should be aware of the complete medical history of their horses and should communicate carefully with their veterinarians concerning usage of medications.



#### RACING INDUSTRY LINKS

**American Quarter Horse Association American Quarter Horse Racing Association Appaloosa Association Association of Racing Commissioners International Clean Horse Racing Grayson-Jockey Club Research Jockey Club National Council on Problem Gambling National Racing License Race Track Chaplaincy of America Racing Medication & Testing Consortium Racing Officials Accreditation Program Thoroughbred Racing Protective Bureau UA Race Track Industry Program Wyoming All Breeds Racing Association Wyoming Downs Wyoming Horse Council Wyoming Horse Racing, LLC Wyoming Quarter Horse Association** 

www.aqha.com www.racing.aqha.com www.appaloosa.com www.arci.com www.cleanhorseracing.org www.grayson-jockeyclub.org www.jockeyclub.com www.ncpgambling.org www.racinglicense.com www.racetrackchaplaincy.org www.rmtcnet.com www.horseracingofficial.com www.trpb.com www.ua-rtip.org www.wabra.org www.wydowns.com www.wyominghorsecouncil.com www.wyominghorseracing.com www.wqha.org

### IMPORTANT RACING CONTACTS

#### **American Paint Horse Association**

P.O. Box 961023 Fort Worth, Texas 76161-0023 (817) 834-APHA (2742)

#### **American Quarter Horse Association**

1600 Quarter Horse Drive Amarillo, TX 79104 (806) 376-4811

# Dynasty Development Management , LLC dba Wyoming Downs

Corporate Office: 3611 S. Lindell Rd, Suite 201 Las Vegas, NV 89103 (702) 362-3030

#### **Sweetwater Downs**

Physical: 3320 Yellowstone Road Rock Springs, WY 82901 (307) 362-1125

#### The Jockey Club

821 Corporate Drive Lexington, KY 40503-2794 Phone: (859) 224-2700

#### **Wyoming All Breeds Racing Association**

418 Trigood Drive Casper, WY 82609 (307) 234-2929\

#### **Wyoming Downs**

Physical: 10180 Hwy 89 North Evanston, WY 82930 (307) 789-7223

#### Wyoming Horse Racing LLC

P.O. Box 3210 Evanston, WY 82931 (307) 224-4960